



21242/280A 3421 CIP-II

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

MASANOBU NISHIMAKI

Application No.: 10/722,018

Filed: November 25, 2003

For: FINANCIAL TRANSACTION SERVER  
(as amended)

Art Unit: 3694

Examiner: Trotter, Scott S

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, and as part of a Request for Continued Examination dated August 30, 2010, the Applicant for the above-identified application hereby submits legible copies of the following publications along with the Form PTO/SB/08a which contains the information required by 37 CFR 1.98(b):

A. International Publication No. WO 01/57612 A2 published by the World Intellectual Property Organization on August 9, 2001;

B. Japanese Patent Application Laid-Open (Kokai) No. H08-096034 published by the Japan Patent Office on April 12, 1996;

C. International Publication No. WO 96/05563 A1 published by the WIPO on February 22, 1996;

D. YOSHINOBU IWATANI, "Corporate finance," Capital Market Quarterly - 2002 Winter, February 1, 2002, pages 159-171, volume 5, number 3, Nomura Research Institute, Tokyo, Japan;

E. NOBORU TOSHIMA, "eBay perfect manual - Let's eBay," Internet Auction - Treasure Hunt Manual, November 15, 1999, pages 26-49, ASCII Limited, Tokyo, Japan;

F. Japanese Patent Application Laid-Open (Kokai) No. 2000-148837 published by the JPO on May 30, 2000; and

G. Japanese Patent Application Laid-Open (Kokai) No. 2001-290943 published by the JPO on October 19, 2001.

Of the above-identified publications, publications B and D-G are not in the English language.

As to publications B, F and G, the English translation (including the English Abstract) of each of the publications is attached. The English translations of publications B, F and G are machine translations generated by "Patent Abstracts of Japan," a database hosted by the JPO.

As to each of publications D and E, the concise explanation of the relevance required by 37 CFR 1.98(a)(3)(i) is presented as follows:

Publication D is a Japanese-language article published in "Capital Market Quarterly - 2002 Winter," a quarterly financial magazine covering domestic and overseas capital markets. The article explains that numerous corporate issuers utilized a Dutch auction method to partially repurchase their own equities particularly in 1996 through 2000, and also explains that the issuers found it cheaper to repurchase the own equities in the Dutch auction method than in a predetermined price method.

Publication E is a Japanese-language article published in "Internet Auction - Treasure Hunt Manual," a guidebook to online auction services provided by domestic and US vendors. The article explains details on eBay's service including user registration, item search, item analysis and sellers' creditworthiness, and also explains how a Dutch auction method works in their actual service.

It is, therefore, hereby respectfully requested that the Patent Office make a record of these publications and consider them during the examination.

Respectfully submitted,

By 

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450, on October 14, 2010 Date of Deposit William L. Androlia Printed Name of person signing this certificate 10/14/2010 Date Signature	